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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		Attorney Docket No. <b>520.35237CV4</b>	
		First Inventor <b>KAJI, TETSUNORI</b>	
		Title <b>A PLASMA PROCESSING APPARATUS</b>	
(Only for new nonprovisional applications under 37 CFR 1.53(b))		Express Mail Label No.	

  

<b>APPLICATION ELEMENTS</b> SEE MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> <div style="text-align: right;"> <b>Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450</b> </div>
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification [Total Pages: <b>85</b> ] (preferred arrangement set forth below) <ul style="list-style-type: none"> <li>-Descriptive title of the invention</li> <li>-Cross Reference to Related Applications</li> <li>-Statement Regarding Fed sponsored R &amp; D</li> <li>-Reference to sequence listing, a table, or a computer program listing appendix</li> <li>-Background of the Invention</li> <li>-Brief Summary of the Invention</li> <li>-Brief Description of the Drawings (if filed)</li> <li>-Detailed Description</li> <li>-Claim(s)</li> <li>-Abstract of the Disclosure</li> </ul>	a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul>
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets: <b>31</b> ]	c. <input type="checkbox"/> Statements verifying identity of above copies
5. Oath or Declaration [Total Sheets: <b>2</b> ] <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))              (for continuation/divisional with Box 18 completed)</li> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b>              Signed statement attached deleting inventor(s)              Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</li> </ul>	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	

  

<b>ACCOMPANYING APPLICATION PARTS</b>	
9. <input type="checkbox"/> Assignment Papers (cover sheet & documents(s))	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)
11. <input type="checkbox"/> English Translation Document (if applicable)	12. <input checked="" type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Citations Statement (IDS)/PTO-1449
13. <input checked="" type="checkbox"/> Preliminary Amendment	14. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. <input checked="" type="checkbox"/> Other: <b>Fee Transmittal</b>	

  

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation   
 ☐ Divisional   
 ☐ Continuation-in-part (CIP)   
 of prior application No.: **10/052,538**

Prior application information: Examiner: **A. M. Crowell**    Art Unit: **1763**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

  

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number <b>020457</b>		OR <input type="checkbox"/> Correspondence address	
Name <b>ANTONELLI, TERRY, STOUT &amp; KRAUS, LLP</b>			
Address			
City	State	Zip Code	
Country	Telephone	Fax	
Name (Print/Type)	Registration No. (Attorney/Agent)		
Signature <i>Gregory E. Montano</i>	Date	March 25, 2004	

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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032504

13281

U.S. PTO

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 770)

## Complete if Known

Application Number	Not Assigned Yet
Filing Date	On Even Date Herewith
First Named Inventor	T. KAJI, et al.
Examiner Name	Not Assigned Yet
Art Unit	Not Assigned Yet
Attorney Docket No.	520.35237CV4

## METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account Number	01-2135
Deposit Account Name	Antonelli, Terry, Stout & Kraus, LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application.☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code	Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	770
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

## SUBTOTAL (1)

770

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	3	-20** = 0 x 18	= 0.00
Indep. Claims	3	-3** = 0 x 86	= 0.00
Multiple Dependent			=

Large Entity Fee Code

Small Entity Fee Code

Fee (\$)

Fee (\$)

Fee Description

1202 18 2202 9

1201 86 2201 43

1203 290 2203 145

1204 86 2204 43

1205 18 2205 9

Claims in excess of 20

Independent claims in excess of 3

Multiple dependent claim, if not paid

\*\* Reissue independent claims

over original patent

\*\* Reissue claims in excess of 20

and over original patent

SUBTOTAL (2) \$ 0.00

\*\*or number previously paid, if greater; For Reissues, see above.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code

Small Entity Fee Code

Fee (\$)

Fee (\$)

Fee Description

1051 130 2051 65

Surcharge - late filing fee or oath

1052 50 2052 25

Surcharge - late provisional filing fee or cover sheet

1053 130 1053 130

Non-English specification

1812 2,520 1812 2,520

For filing a request for ex parte reexamination

1804 920\* 1804 920\*

Requesting publication of SIR prior to Examination action

1805 1,840\* 1805 1,840\*

Requesting publication of SIR after Examiner action

1251 110 2251 55

Extension for reply within first month

1252 420 2252 210

Extension for reply within second month

1253 950 2253 475

Extension for reply within third month

1254 1,480 2254 740

Extension for reply within fourth month

1255 2,010 2255 1,005

Extension for reply within fifth month

1401 330 2401 165

Notice of Appeal

1402 330 2402 165

Filing a brief in support of an appeal

1403 290 2403 145

Request for oral hearing

1451 1,510 1451 1,510

Petition to institute a public use proceeding

1452 110 2452 55

Petition to revive - unavoidable

1453 1,330 2453 665

Petition to revive - unintentional

1501 1,330 2501 665

Utility issue fee (or reissue)

1502 480 2502 240

Design issue fee

1503 640 2503 320

Plant issue fee

1406 130 1460 130

Petitions to the Commissioner

1807 50 1807 50

Processing fee under 37 CFR 1.17(q)

1806 180 1806 180

Submission of Information Disclosure Stmt

8021 40 8021 40

Recording each patent assignment per property (times number of properties)

1809 770 2809 385

Filing a submission after final rejection (37 CFR § 1.129(a))

1810 770 2810 385

For each additional invention to be examined (37 CFR § 1.129(b))

1801 770 2801 385

Request for Continued Examination (RCE)

1802 900 1802 900

Request for expedited examination of a design application

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

## SUBTOTAL (3) (\$)

0.00

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)

Gregory E. Montane

Registration No.  
(Attorney/Agent)

28,141

Telephone

703-312-6600

Signature

Date

March 25, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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